



2164

1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No 09/610,160
3 Filing Date 7/5/2000
4 Inventorship Horn et al.
5 Applicant Marketocracy
6 Attorney's Docket No. MK1-003US
7 Title: System and Method for Determining and Tracking Performance Metrics for Individual Investors

8 **TRANSMITTAL LETTER AND CERTIFICATE OF MAILING**

9 To: The Commissioner of Patents & Trademarks
10 Washington, D.C. 20231

11 From: Steven R. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)
12 Customer No. 29150



13 The following enumerated items accompany this transmittal letter and are being submitted for the
14 matter identified in the above caption.

15 1. Transmittal letter including Certificate of Mailing
16 2. Return Post Card
17 3. Information Disclosure Statement w/Form PTO-1449 and reference copies (7)

RECEIVED

OCT 22 2001

Technology Center 2100

18 Large Entity Status [] Small Entity Status [X]

19 Applicant hereby requests an extension of time in any case such an extension is necessary. The fee
20 should be charged to the Deposit Account indicated below.

21 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit
22 Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any
23 processing fees, including any necessary extension fees under 37 CFR 1.17.

24 Date: 10-16-2001

25 By: Steven R. Sponseller
26 Steven R. Sponseller
27 Reg. No. 39,384

CERTIFICATE OF MAILING

28 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal
29 Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in
30 an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the
31 below-indicated date. Any Express Mail No. has also been marked on the listed items.

32 Express Mail No. (if applicable) _____

33 Date: 10/16/2001

34 By: Cheryl K. Boies
35 Cheryl K. Boies